

**SIEMENS****FACSIMILE COVER SHEET**In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Thuy-Phuong Le

Application No.: 10/533,083

Attorney Docket No. 2002P03505WOUS

Filed: April 28, 2005

Title: METHOD AND APPARATUS FOR INTERCHANGING DATA  
USING A TUNNEL CONNECTION

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

► FACSIMILE ATTN TO: CYNTHIA M . KRATZ  
PCT Legal OfficeFAX NO.: 571-277-0459 <sup>3</sup>RESPONSE TO OFFICE COMMUNICATION**Certificate of Transmission under 37 CFR 1.8**I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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SIEMENS CORPORATION  
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In re Application of  
 THUY-PHUONG LE et al.  
 Application No.: 10/533,083  
 PCT No.: PCT/DE03/03029  
 Int. Filing: 12 September 2003  
 Priority Date: 28 October 2002  
 Attorney Docket No.: 2002PO3505WOUS  
 For: METHOD AND APPARATUS FOR  
 EXCHANGING DATA USING A TUNNEL  
 CONNECTION

## COMMUNICATION

This application is before the PCT Legal Office for consideration of matters arising under 35 U.S.C. 371. This is also responsive to applicant's communication filed via facsimile on 30 November 2005 in the United States Patent and Trademark Office (USPTO) in the above-captioned application.

On 30 September 2005, a Notification of Missing Requirements (FORM PCT/DO/EO/905) was mailed to applicant indicating that the declaration submitted on 28 April 2005 with the request for entry into the national stage, listed the inventor as Thuy-Phuong Le, rather than Le Thuy-Phuong as listed on the published international application. An explanation was required as to how the error in the inventor's name occurred, e.g. is this a correction of a transliteration or typographical error. The declaration as filed is not in compliance with 37 CFR 1.66 or 1.68 as it identifies a legal entity not identified on the international application and is unacceptable as filed.<sup>1</sup>

On 30 November 2005, a reply to the Notification of Missing Requirements was filed via facsimile. Unfortunately, the 30 November 2005 submission was not signed by the attorney of record in the above referenced application. 37 CFR 10.18(a) emphasizes that every paper filed by a practitioner must be personally signed by the practitioner and states, in pertinent part, :

For all documents filed in the Office in patent, trademark, and other non-patent matters, except for correspondence that is required to be signed by the applicant or party, *each piece of correspondence filed by a practitioner in the Patent and Trademark Office must bear a signature, personally signed by such practitioner, in compliance with § 1.4(d)(1) of this chapter.*

<sup>1</sup>Section 201.03 of the Manual of Patent Examining Procedure states where a typographical or transliteration error in the spelling of an inventor's name is discovered, the Office should simply be notified of the error to correct the name of the inventor.

Application No.: 10/533,083

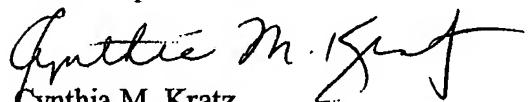
2

(Emphasis added.)

In the instant case, the submission on 30 November 2005 was not personally signed by the practitioner and thus is not an acceptable filing.

**CONCLUSION**

This application is being held in the PCT Legal Office to await applicant's further reply. The time period set forth in the Form 905 remains in effect.



Cynthia M. Kratz  
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PCT Legal Office

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ALL INFORMATION CONTAINED IS UNCLASSIFIED

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**SIEMENS**

PATENT  
Attorney Docket No. 2002P03505WOUS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Inventor: Thuy-Phuong Le et al.) Group Art Unit: Not Yet Assigned  
Serial No.: 10/533,083 ) Examiner: Not Yet Assigned  
Filed: April 28, 2005 )  
Title: METHOD AND APPARATUS FOR INTERCHANGING DATA  
USING A TUNNEL CONNECTION

# Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

## RESPONSE TO OFFICE COMMUNICATION

In response to the Notification of Missing Requirements mailed September 30, 2005 and the Office Communication mailed March 1, 2006, Applicants respectfully submit the following:

(1) Inventor Thuy-Phuong Le's name, as it appears on (and as she signed) the Declaration submitted with the application on April 28, 2005 is correct.

(2) Inventor Thuy-Phuong Le's name, as it appears on the cover sheet of PCT application PCT/DE03/03029, is inverted (due to a comma indicating last name before first) causing it to be incorrect.

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Serial No. 10/533,083  
Atty. Doc. No. 2002P03505WOUS

Conclusion

The commissioner is hereby authorized to charge any appropriate fees due in connection with this paper or credit any overpayments to Deposit Account No. 19-2179.

Respectfully submitted,

Dated: 3/17/06

By: John P. Musone

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